

Global Regulatory Compliance & Trade Analytics Software

so you can focus on trading



Our Service Offering

our extensive suite of products enables you to easily satisfy your regulatory obligations in any jurisdiction and analyze your data to gain trading insights -- all in our sleek, user-friendly platform

Global Compliance Reporting

meet your regulatory reporting obligations with ease using S3's all-in-one compliance reporting suite, generated from one secure platform

MiFID II

EMIR / MiFIR

Transaction Reporting to an ARM

SEC Rules 605 & 606a, 606b3 & look-through (for firms trading US securities)



Best Execution & TCA

readily examine equity and option execution quality using a variety of customizable metrics and benchmarks



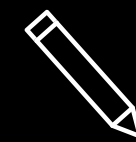
compare statistics using multiple, customizable categories, such as market cap, size buckets, side, or notional value



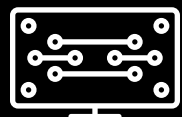
customize and schedule automated reports to be delivered directly to your inbox - for your review or to share with your clients



evaluate execution quality metrics with the flexibility to easily navigate between different time zone references



review data in a single currency (e.g. US Dollars or Euros) or the local currency of the transaction



use a wide variety of benchmarks to examine your trading behavior and make adjustments to achieve optimal performance



review your data using a high-level graphical summary of trends, or drill into the detail of each trade

Fixed Income Best Ex & TCA

evaluate your execution data against multiple sources to develop more sophisticated trading strategies while maintaining a robust audit trail -- available in the US or global markets



compare your daily trades against customized exception types, including daily mean, trade-based mean, evaluated quote, among others



track all exception reviews using an audit function that maintains a record of custom auto-comments or free-text comments



define thresholds to trigger alerts for price, commission, CUSIP, and other exceptions

Concierge Reporting



custom, on-demand professional reports formatted to your standards by a dedicated data specialist

CAT Reporting for US Orderflow

We make every effort to eliminate linkage issues during our robust onboarding process to ensure avoidance of unnecessary errors

All files coming into S3 are monitored for data integrity - alerts are generated when data is missing

Errors are monitored closely to ensure repairs are submitted in a timely fashion

We work with your counterparties to identify and resolve errors on your behalf

Review your submissions and repairs directly in the S3 portal

Highly secure streamlined CAIS Reporting available*

Low touch solution available for those firms that already have an in-house solution but need some additional support

Reconciliation tool available to query your CAT records and easily drill down into the detail of what was reported



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